



Department of Insurance

State of Arizona

Captive Insurance Division

Telephone: (602) 912-8420

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JANET NAPOLITANO
Governor

2910 North 44th Street, Second Floor
Phoenix, Arizona 85018-7256

CHRISTINA URIAS
Director of Insurance

Management Firm Profile

(Management Firm)

Address: _____ **Tel:** _____

_____ **Fax:** _____

I. List Principals, Key Employees and respective responsibilities.

II. What insurance licenses, if any, do employees have?

III. Have any employees been denied an individual or position schedule fidelity bond, or had a bond canceled or revoked? If yes, describe details:

IV. List membership in Professional Societies and Associations.

V. Describe Captive Insurance experience of officers and principals.

VI. Names and Location of current captives managed:

VII. During past ten (10) years, has any employee ever been refused a professional license by any public or governmental agency or regulatory authority, or has any such license held by you or any employee suspended or revoked? If yes, please describe details:

VIII. Has any employee ever been subject to any disciplinary proceedings of any professional associates of federal/state regulatory agency? If yes, please describe details:

IX. List three (3) references within insurance industry including addresses and telephone numbers.

Name: _____

Address: _____

Phone #: _____

X. Normal business hours: _____

Dated this _____ Day of _____ 20 _____

(Printed Name of Officer/Principal)

(Signature of Officer/Principal)